

#10 / IDS
3/2/04
LW

PATENT
Docket No. 220772009600

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV336625307US, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 2/12/04

Signature: Tia Zimmerman (Tia B. Zimmerman)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

David YEE et al.

Serial No.: 10/071,749

Filing Date: February 7, 2002

For: DESIGN AND CONTROL STRATEGY
FOR CATALYTIC COMBUSTION
SYSTEM WITH A WIDE OPERATING
RANGE

Examiner: L. J. Casaregola

Group Art Unit: 3746

RECEIVED
FEB 26 2004
TECHNOLOGY CENTER R3700

~~02/20/2004 HALI11 00000086 031952 10071749~~
~~01 FC:1806 180.00 DA~~

SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

02/20/2004 HALI11 00000087 031952 10071749
01 FC:1806 180.00 DA

This Supplemental Information Disclosure Statement is submitted:

- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☒ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of ___ is enclosed.
 - ☒ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of ___ is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)


Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **220772009600**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: February 12, 2004

Respectfully submitted,

By: 
Christopher B. Eide
Registration No. 48,375

Morrison & Foerster, LLP
755 Page Mill Road
Palo Alto, California 94304-1018
Telephone: 650/813-5720
Facsimile: (650) 494-0792

Form PTO-1449

Docket Number 220772009600

Application Number 10/071,749

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

Applicant

David YEE et al

Filing Date February 7, 2002

Group Art Unit 3746

Mailing Date February 12, 2004

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	07/04/2002	2002/0083715	Dalla Betta et al.			
	2.	01/03/1989	4,794,753	Beebe			
	3.	11/10/1992	5,161,366	Beebe			
	4.	11/02/1993	5,258,349	Dalla Betta et al.			
	5.	03/07/1995	5,395,235	Lan-Sun Hung			
	6.	04/11/1995	5,405,260	Della Betta et al.			
	7.	12/12/1995	5,474,441	Farrauto et al.			
	8.	10/27/1998	5,826,429	Beebe et al.			
	9.	12/22/1998	5,850,731	Beebe et al.			
	10.	10/03/2000	6,125,625	Lipinski et al.			
	11.	10/10/2000	6,128,894	Joos et al.			
	12.	08/07/2001	6,270,336	Terashima et al.			
	13.	01/22/2002	6,339,925	Hung et al.			

RECEIVED
FEB 26 2004
TECHNOLOGY CENTER R3700

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	14.	10/23/1991	EP 0 453 178	Europe			

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.